

032304

U.S.P.T.O.

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 U.S.C. 1.53(b))

Attorney Docket No.	37505.0239
First Inventor	Gan et al.
Title	Efficient Electrode Assembly Design For Cells With Alkali Metal Anodes
Express Mail Label No.	ER 600255700 US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

1.  Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See CFR 1.27.
3.  Specification [Total Pages /21/] (preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 USC 113) [Total Sheets /2/]
5.  Oath or Declaration [Total Pages /3/]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Commissioner for Patents  
Mail Stop Patent Application  
Alexandria, VA 22313-1450

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b.  Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of Attorney (when there is an assignee)
11.  English Translation Document (if applicable)
12.  Information Disclosure  Copies of IDS Statement (IDS)/PTO-1449 Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16.  Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17.  Other: Credit Card Forms for \$40.00 and \$770.00

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation       Divisional       Continuation-in-part (CIP)      of the prior application No: / \_\_\_\_\_

Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_  
 For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label		33751				<input checked="" type="checkbox"/> Correspondence address below	
NAME		Michael F. Scalise					
		Wilson Greatbatch Technologies, Inc.					
ADDRESS		10,000 Wehrle Drive					
CITY	Clarence		STATE	New York	ZIP CODE	14031	
COUNTRY	USA		TELEPHONE	(716) 759-5810	FAX	(716) 759-5074	
Name (Print/Type)		Michael F. Scalise			Registration No. (Attorney/Agent)	34,920	
Signature					Date		

"Express Mail" Mailing Label Number ER 600255700 US

Date of Deposit March 23, 2004

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Alexandria, VA 22313-1450.

Rosemarie Contella  
Name

# FEE TRANSMITTAL for FY 2002

Patent Fees are subject to annual revision.

G Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$810.00)

Application Number

March 23, 2004

Filing Date

First Named Inventor

Gan et al.

Examiner Name

Group/Art Unit

37505.0239

TOTAL AMOUNT OF PAYMENT (\$810.00) Attorney Docket Number

37505.0239

## METHOD OF PAYMENT (check all that apply)

## FEE CALCULATION (continued)

 Check    Credit Card    Money Order    Other    None

 Deposit Account: Deposit Account Number: 502460
Deposit Account Name: \_\_\_\_\_  
The Commissioner is hereby authorized to (check all that apply)
 Charge fee(s) indicated below

 Charge any fee deficiencies or credit any overpayments

 Charge any additional fees during pendency of this application

 Charge fees indicated below, except for the filing fee  
to the above-identified deposit account

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Fee (\$)	Small Entity Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	\$
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$
139	130	139	130	Non-English specification	\$

## FEE CALCULATION

147	2,520	147	2,520	For filing a request for ex parte reexamination	\$
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## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Paid			
112	920*	112	920*	Requesting Publication of SIR prior to Examiner Action	\$
113	1,840*	113	1,840*	Requesting Publication of SIR after Examiner Action	\$

101 770 201 385 Utility filing fee	\$770	115	110	215	55	Extension for reply within first month	\$
106 340 206 170 Design filing fee	\$	116	420	216	210	Extension for reply within second month	\$
107 530 207 265 Plant filing fee	\$	117	950	217	475	Extension for reply within third month	\$
108 770 208 385 Reissue filing fee	\$	118	1,480	218	740	Extension for reply within fourth month	\$
114 160 214 80 Provisional filing fee	\$	128	2,010	228	1,005	Extension for reply within fifth month	\$

## SUBTOTAL (1)

\$770	119	330	219	165	Notice of Appeal	\$
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## 2. EXTRA CLAIM FEES FOR UTILITY/ REISSUE

Extra Claims Total Claims / 14 / - 20** = / 0 / x / / =	Fee Paid	120	330	220	165	Filing a brief in support of an appeal	\$	
		\$	121	290	221	145	Request for oral hearing	\$

Independent Claims / 3 / - 3** = / 0 / x / / =	Fee Paid	138	1,510	138	1,510	Petition to institute a public use proceeding	\$
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Multiple dependent / / x / / =	Fee Paid	140	110	240	55	Petition to revive - unavoidable	\$
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Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	141	1,330	241	665	Petition to revive - unintentional	\$
			142	1,330	242	665	10 advance copies Utility issue fee (or reissue)	\$

103 18 203 9 Claims in excess of 20	Fee Paid	143	480	243	240	Design issue fee	\$
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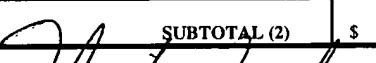
102 86 202 43 Independent claims in excess of 3	Fee Paid	144	640	244	320	Plant issue fee	\$
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104 290 204 145 Multiple dependent claim if not paid	Fee Paid	122	130	122	130	Petitions to the Commissioner	\$
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109 86 209 43 **Reissue independent claims over original patent	Fee Paid	123	50	123	50	Processing fee under 37 CFR 1.17(q)	\$
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110 18 210 9 **Reissue claims in excess of 20 and over original patent	Fee Paid	126	180	126	180	Submission of Information Disclosure Statement	\$
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SUBTOTAL (2)	Fee Paid	581	40	581	40	Recording each patent assignment per property (times number of properties)	\$40
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SIGNATURE: 	Fee Paid	146	770	246	385	Filing a submission after final rejection(37 CFR 1.129(a))	\$
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Michael F. Scalise	Reg. No. 34,920	Fee Paid	149	770	249	385	For each add'l invention to be examined(37 CFR 1.129(b))	\$
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DATE: March 23, 2004	Telephone: (716) 759-5810	Fee Paid	179	770	279	385	Request For Continued Examination (RCE)	\$
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169	900	169	900	Request for Expedited Examination of a design appln.				\$
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Other fee (specify)	SUBTOTAL (3)	\$40
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Express Mail Mailing Label Number ER 600255700 US Date of Deposit March 23, 2004  
I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

Rosemarie Contella  
Name  
SignatureMarch 23, 2004  
Date of Signature

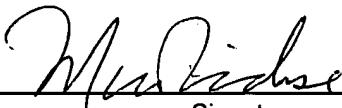
**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Gan et al.
Title	Efficient Electrode Assembly Design For Cells With Alkali Metal Anodes
Attorney Docket Number	37505.0239

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

March 23, 2004  
\_\_\_\_\_  
Date



\_\_\_\_\_  
Signature

716-759-5810  
\_\_\_\_\_  
Telephone number

Michael F. Scalise  
\_\_\_\_\_  
Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.